Jefferies

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Consolidated Investors Complaints Data relating to Merchant Banking activities - Data for the month ending 31 October 2026

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	-	1	-	1	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
5	**Grand Total**	**0**	**1**	**-**	**1**	**0**	**-**

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	June, 2026	5	0	5	0
2	July, 2026	0	1	1	0
3	August, 2026	0	0	0	0
4	September, 2026	0	1	1	0
5	October, 2026	0	1	0	1
	Grand Total	**-**	**3**	**7**	**1**

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022	-	-	-	-
2	2023	-	-	-	-
3	2024	-	-	-	-
4	2025	-	1	1	-
5	2026	-	46	45	1
	Grand	**-**	**47**	**46**	**1**

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IPOS & FPOS (INCLUDING OFS) – Data for the month ending 31 October 2026

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	-	1	-	1	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
5	**Grand Total**	**0**	**1**	-	**1**	**0**	**-**

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	June, 2026	5	0	5	0
2	July, 2026	0	1	1	0
3	August, 2026	0	0	0	0
4	September, 2026	0	1	1	0
5	October, 2026	0	1	0	1
	Grand Total	**-**	**3**	**7**	**1**

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022	-	-	-	-
2	2023	-	-	-	-
3	2024	-	-	-	-
4	2025	-	1	1	-
5	2026	-	46	45	1
	Grand	**-**	**47**	**46**	**1**

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RIGHTS ISSUE– Data for the month ending 31 October 2026

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
5	**Grand Total**	-	-	-	-	-	-

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	June, 2026	-	-	-	-
2	July, 2026	-	-	-	-
3	August, 2026	-	-	-	-
4	September, 2026	-	-	-	-
5	October, 2026	-	-	-	-
	Grand Total	**-**	**-**	**-**	**-**

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022	-	-	-	-
2	2023	-	-	-	-
3	2024	-	-	-	-
4	2025	-	-	-	-
5	2026	-	-	-	-
	Grand	**-**	**-**	**-**	**-**

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QUALIFIED INSTITUIONS PLACEMENT (QIPS) – Data for the month ending 31 October 2026

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
5	**Grand Total**	-	-	-	-	-	-

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	June, 2026	-	-	-	-
2	July, 2026	-	-	-	-
3	August, 2026	-	-	-	-
4	September, 2026	-	-	-	-
5	October, 2026	-	-	-	-
	Grand Total	-	-	-	-

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022	-	-	-	-
2	2023	-	-	-	-
3	2024	-	-	-	-
4	2025	-	-	-	-
5	2026	-	-	-	-
	Grand	-	-	-	-

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PREFENTIAL ISSUE– Data for the month ending 31 October 2026

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
5	**Grand Total**	-	-	-	-	-	-

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	June, 2026	-	-	-	-
2	July, 2026	-	-	-	-
3	August, 2026	-	-	-	-
4	September, 2026	-	-	-	-
5	October, 2026	-	-	-	-
	Grand Total	-	-	-	-

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022	-	-	-	-
2	2023	-	-	-	-
3	2024	-	-	-	-
4	2025	-	-	-	-
5	2026	-	-	-	-
	Grand	-	-	-	-

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SME IPOS & FPOS (INCLUDING OFS) – Data for the month ending 31 October 2026

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
5	**Grand Total**	-	-	-	-	-	-

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	June, 2026	-	-	-	-
2	July, 2026	-	-	-	-
3	August, 2026	-	-	-	-
4	September, 2026	-	-	-	-
5	October, 2026	-	-	-	-
	Grand Total	-	-	-	-

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022	-	-	-	-
2	2023	-	-	-	-
3	2024	-	-	-	-
4	2025	-	-	-	-
5	2026	-	-	-	-
	Grand	-	-	-	-

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BUYBACK OF SECURITIES– Data for the month ending 31 October 2026

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
5	**Grand Total**	-	-	-	-	-	-

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	June, 2026	-	-	-	-
2	July, 2026	-	-	-	-
3	August, 2026	-	-	-	-
4	September, 2026	-	-	-	-
5	October, 2026	-	-	-	-
	Grand Total	-	-	-	-

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022	-	-	-	-
2	2023	-	-	-	-
3	2024	-	-	-	-
4	2025	-	-	-	-
5	2026	-	-	-	-
	Grand	-	-	-	-

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DELISTING OF EQUITY SHARES– Data for the month ending 31 October 2026

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
5	**Grand Total**	-	-	-	-	-	-

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	June, 2026	-	-	-	-
2	July, 2026	-	-	-	-
3	August, 2026	-	-	-	-
4	September, 2026	-	-	-	-
5	October, 2026	-	-	-	-
	Grand Total	-	-	-	-

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022	-	-	-	-
2	2023	-	-	-	-
3	2024	-	-	-	-
4	2025	-	-	-	-
5	2026	-	-	-	-
	Grand	-	-	-	-

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SUBSTANTIAL ACQUISITION OF SHARES AND TAKEOVERS– Data for the month ending 31 October 2026

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
5	**Grand Total**	-	-	-	-	-	-

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	June, 2026	-	-	-	-
2	July, 2026	-	-	-	-
3	August, 2026	-	-	-	-
4	September, 2026	-	-	-	-
5	October, 2026	-	-	-	-
	Grand Total	-	-	-	-

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022	-	-	-	-
2	2023	-	-	-	-
3	2024	-	-	-	-
4	2025	-	-	-	-
5	2026	-	-	-	-
	Grand	-	-	-	-

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PUBLIC ISSUE OF INVITS– Data for the month ending 31 October 2026

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
5	**Grand Total**	-	-	-	-	-	-

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	June, 2026	-	-	-	-
2	July, 2026	-	-	-	-
3	August, 2026	-	-	-	-
4	September, 2026	-	-	-	-
5	October, 2026	-	-	-	-
	Grand Total	-	-	-	-

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022	-	-	-	-
2	2023	-	-	-	-
3	2024	-	-	-	-
4	2025	-	-	-	-
5	2026	-	-	-	-
	Grand	-	-	-	-

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PUBLIC ISSUE OF REITS– Data for the month ending 31 October 2026

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
5	**Grand Total**	-	-	-	-	-	-

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	June, 2026	-	-	-	-
2	July, 2026	-	-	-	-
3	August, 2026	-	-	-	-
4	September, 2026	-	-	-	-
5	October, 2026	-	-	-	-
	Grand Total	-	-	-	-

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022	-	-	-	-
2	2023	-	-	-	-
3	2024	-	-	-	-
4	2025	-	-	-	-
5	2026	-	-	-	-
	Grand	-	-	-	-