

## APPENDIX 1 – EXECUTION VENUES INFORMATION

Below is a list of execution venues, regularly used by Jefferies GmbH when executing orders on behalf of clients. This list is not exhaustive and is subject to change, and will be re-issued periodically. Jefferies receives no payment for order flow and no inducements from any venue.

### **1) Execution and trading venues for Jefferies GmbH**

#### **Equities**

- No direct market access by Jefferies GmbH
- Jefferies GmbH (acting as SI)<sup>1</sup>

#### **Fixed Income, Bonds, Notes**

- Jefferies GmbH (acting as SI)<sup>2</sup>
- BGC Group (Fenics, GFI, EM Bonds, Aurel BGC) (BGCI), UK
- Bloomberg (BMTF), UK
- CME NEX Markets (Brokertec) (BTEE), UK
- Deutsche Boerse (Eurex) (XEUR), Germany
- Deutsche Boerse (Eurex Repo) (XEUP)
- HDAT
- ICE Futures Europe Ltd (IFEU), UK
- Euronext Borsa Italiana Group (includes MTS, EuroTLX, MOT, EuroMOT) (XMIL), Italy
- Market Axess Europe Limited (includes Market Axess, RMO) (MAEL), UK
- SENA
- Tradeweb (includes Dealerweb), (TWEU), Netherlands
- TP ICAP Group (ISDX), UK
- Tradition Group (includes Finacor)

#### **Derivatives**

- No direct market access by Jefferies GmbH

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<sup>1</sup> Where Jefferies GmbH is used as execution venue, the quality of the execution will be reviewed in the same way as it would for any other execution venue.

<sup>2</sup> Where Jefferies GmbH is used as execution venue, the quality of the execution will be reviewed in the same way as it would for any other execution venue.

**APPENDIX 2****Brokers regularly used based on their best execution policy and their main execution venues**

Below is a list of brokers and their main execution venues regularly used by Jefferies GmbH when routing clients orders on behalf of clients. This list is not exhaustive and is subject to change, and will be re-issued periodically. Jefferies receives no payment for order flow and no inducements from any broker.

**1) Brokers used by Jefferies GmbH****Equities**

- Jefferies International Limited
- Jefferies LLC
- Equita Societa' Di Intermediazione Mobiliare S.P.A.
- Jefferies Hong Kong Limited
- Jefferies India Private Limited
- Jefferies Japan Limited

**Fixed Income, Bonds, Notes**

- Jefferies International Limited
- Jefferies LLC
- Jefferies Hong Kong Limited
- Jefferies India Private Limited
- Jefferies Japan Limited

**Derivatives**

- Jefferies International Limited

**2) Main execution venues used by brokers****EQUITIES**

US
XNYS: NEW YORK STOCK EXCHANGE, INC.
XNMS: NASDAQ/NMS (GLOBAL MARKET)
IEXD: INVESTORS EXCHANGE - DARK
INCR: INTELLIGENTCROSS
UBSA: UBS ATS
STRM: PURESTREAM
BATS: CBOE BZX U.S. EQUITIES EXCHANGE
EBXV: LEVEL ATS - VWAP CROSS
CGXS: ONECHRONOS
IEXG: INVESTORS EXCHANGE

EMEA
XLON: LONDON STOCK EXCHANGE
BEUP: CBOE EUROPE - DXE PERIODIC (NL)

ERFQ: BLOCKMATCH EUROPE RFQ
BRFQ: BLOCKMATCH UK RFQ
XSWX: SIX SWISS EXCHANGE
JEFS: JEFFERIES INTERNATIONAL - SYSTEMATIC INTERNALISER
XETR: XETRA
XPAR: EURONEXT - EURONEXT PARIS
ETFP: ELECTRONIC ETF, ETC/ETN AND OPEN-END FUNDS MARKET
BATF: CBOE EUROPE - BXE OFF-BOOK

APAC
XTKS: TOKYO STOCK EXCHANGE
XHKG: HONG KONG EXCHANGES AND CLEARING LTD
XASX: ASX - ALL MARKETS
XTAI: TAIWAN STOCK EXCHANGE
XKRX: KOREA EXCHANGE (STOCK MARKET)
XTK1: TOKYO STOCK EXCHANGE - TOSTNET-1
XNSE: NATIONAL STOCK EXCHANGE OF INDIA
ODXE: ODX - OSAKA DIGITAL EXCHANGE
XSES: SINGAPORE EXCHANGE
JEFA: JEFFERIES ASIA

## FIXED INCOME, BONDS, NOTES & DERIVATIVES

BGCI: BGC Group (Fenics, GFI, EM Bonds, Aurel BGC)
BMTF: Bloomberg
BTEE: CME NEX Markets (Brokertec)
XEUR: Deutsche Boerse (Eurex)
XEUP: Deutsche Boerse (Eurex Repo)
HDAT: HDAT
IFEU: ICE Futures Europe Ltd
JEFS: Jefferies International Limited
XMIL: Euronext Borsa Italiana Group (includes MTS, EuroTLX, MOT, EuroMOT)
MAEL: Market Axess Europe Limited (includes Market Axess, RMO)
XSBT: SGX Bond Trading Pte. Limited, Singapore
XNAF: SENAF
TWEU: Tradeweb (includes Dealerweb)
ISDX: TP ICAP Group
TRDE: Tradition Group (includes Finacor)
Jefferies International Limited (Affiliate memberships in Asia include: Jefferies Hong Kong Limited / Hong Kong Stock Exchange; Jefferies India Private Limited / Bombay Stock Exchange & National Stock Exchange; and Jefferies Japan Limited / Tokyo Stock Exchange & Osaka Exchange) <sup>3</sup>

The most up to date and maintained list of MIC Codes is published on: <https://www.iso20022.org/market-identifier-codes>

<sup>3</sup> Where Jefferies International Limited, affiliates, or third party brokers are used as execution venues, the quality of their execution will be reviewed in the same way as it would for any other execution venue.