Basic Policy for the Prevention of Relations with Antisocial Forces

Jefferies Japan Limited (JJL) has established and declares its Basic Policy for the Prevention of Relations with Antisocial Forces as follows.

- 1. JJL shall not have any relationship, including business relationship, with antisocial forces.
- 2. JJL shall reject any unreasonable demands by antisocial forces.
- 3. JJL will take legal action, both civil and criminal, against unjustified demands by antisocial forces.
- 4. JJL will never engage in backroom deals or provide funds to antisocial forces.