

**SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

Firm Name:

JEFFERIES LLC

as of: 01/31/2023

COMPUTATION OF CFTC MINIMUM CAPITAL REQUIREMENTS

NET CAPITAL REQUIRED

A. Risk-based requirement

i. Amount of customer risk

Maintenance margin

\$ 0

7415

ii. Enter 8% of Line A.i

0

7425

iii. Amount of non-customer risk

Maintenance margin

0

7435

iv. Enter 8% of Line A.iii

0

7445

v. Amount of uncleared swap margin

0

7446

vi. If the FCM is also registered as a swap dealer, enter 2% of Line A.v

0

7447

vii. Enter the sum of Lines A.ii, A.iv, and A.vi.

0

7455

B. Minimum dollar amount requirement

1,000,000

7465

C. Other NFA requirement

0

7475

D. Minimum CFTC net capital requirement.

Enter the greatest of Lines A.vii, B, or C

\$ 1,000,000

7490

Note: If amount of Line D is greater than the minimum net capital requirement computer on Item 3760, then enter this greater amount on Item 3760. The greater of the amount required by the SEC or CFTC is the minimum net capital requirement.

CFTC early warning level - enter the greatest of 110% of Line A.vii. or 150% of Line B or 150% of Line C or \$375,000

\$ 1,500,000

7495

**SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER: JEFFERIES LLC

as of: 01/31/2023

**STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES**

SEGREGATION REQUIREMENTS

| | | | |
|---|---|------|--------|
| 1. Net ledger balance | | | |
| A. Cash | | \$ 0 | 7010 |
| B. Securities (at market) | | 0 | 7020 |
| 2. Net unrealized profit (loss) in open futures contracts traded on a contract market | | 0 | 7030 |
| 3. Exchange traded options | | | |
| A. Add: Market value of open option contracts purchased on a contract market | | 0 | 7032 |
| B. Deduct Market value of open option contracts granted (sold) on a contract market | | 0 | 7033 |
| 4. Net equity (deficit) (total of Lines 1, 2 and 3) | | 0 | 7040 |
| 5. Accounts liquidating to a deficit and accounts with debit balances - gross amount | 0 | 7045 | |
| Less: amount offset by customer owned securities | 0 | 7047 | 0 7050 |
| 6. Amount required to be segregated (add Lines 4 and 5) | | \$ 0 | 7060 |

FUNDS IN SEGREGATED ACCOUNTS

| | | | |
|---|--|------|------|
| 7. Deposited in segregated funds bank accounts | | | |
| A. Cash | | 0 | 7070 |
| B. Securities representing investments of customers' funds (at market) | | 0 | 7080 |
| C. Securities held for particular customers or option customers in lieu of cash (at market) | | 0 | 7090 |
| 8. Margin on deposit with derivatives clearing organizations of contract markets | | | |
| A. Cash | | 0 | 7100 |
| B. Securities representing investments of customers' funds (at market) | | 0 | 7110 |
| C. Securities held for particular customers or option customers in lieu of cash (at market) | | 0 | 7120 |
| 9. Net settlement from (to) derivatives clearing organizations of contract markets | | 0 | 7130 |
| 10. Exchange traded options | | | |
| A. Value of open long option contracts | | 0 | 7132 |
| B. Value of open short option contracts | | 0 | 7133 |
| 11. Net equities with other FCMs | | | |
| A. Net liquidating equity | | 0 | 7140 |
| B. Securities representing investments of customers' funds (at market) | | 0 | 7160 |
| C. Securities held for particular customers or option customers in lieu of cash (at market) | | 0 | 7170 |
| 12. Segregated funds on hand (describe:) | | 0 | 7150 |
| 13. Total amount in segregation (add Lines 7 through 12) | | 0 | 7180 |
| 14. Excess (deficiency) funds in segregation (subtract Line 6 from Line 13) | | \$ 0 | 7190 |
| 15. Management target amount for excess funds in segregation | | 0 | 7194 |
| 16. Excess (deficiency) funds in segregation over (under) management target amount excess | | 0 | 7198 |

**SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER: JEFFERIES LLC

as of: 01/31/2023

**STATEMENT OF CLEARED SWAPS SEGREGATION REQUIREMENTS AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

| | | | |
|---|------|------|--------|
| 1. Net ledger balance | | | |
| A. Cash | | \$ 0 | 8500 |
| B. Securities (at market) | | 0 | 8510 |
| 2. Net unrealized profit (loss) in open cleared swaps | | 0 | 8520 |
| 3. Cleared swaps options | | | |
| A. Market value of open cleared swaps option contracts purchased | | 0 | 8530 |
| B. Market value of open cleared swaps option contracts granted (sold) | | 0 | 8540 |
| 4. Net equity (deficit) (add lines 1, 2 and 3) | | 0 | 8550 |
| 5. Accounts liquidating to a deficit and accounts with debit balances | | | |
| - gross amount | \$ 0 | 8560 | |
| Less: amount offset by customer owned securities | 0 | 8570 | 0 8580 |
| 6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) | | | 0 8590 |

Funds in Cleared Swaps Customer Segregated Accounts

| | | | |
|---|--|------|--------|
| 7. Deposited in cleared swaps customer segregated accounts at banks | | | |
| A. Cash | | \$ 0 | 8600 |
| B. Securities representing investments of cleared swaps customers' funds (at market) | | 0 | 8610 |
| C. Securities held for particular cleared swaps customers in lieu of cash (at market) | | 0 | 8620 |
| 8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts | | | |
| A. Cash | | 0 | 8630 |
| B. Securities representing investments of cleared swaps customers' funds (at market) | | 0 | 8640 |
| C. Securities held for particular cleared swaps customers in lieu of cash (at market) | | 0 | 8650 |
| 9. Net settlement from (to) derivatives clearing organizations | | | 0 8660 |
| 10. Cleared swaps options | | | |
| A. Value of open cleared swaps long option contracts | | 0 | 8670 |
| B. Value of open cleared swaps short option contracts | | 0 | 8680 |
| 11. Net equities with other FCMs | | | |
| A. Net liquidating equity | | 0 | 8690 |
| B. Securities representing investments of cleared swaps customers' funds (at market) | | 0 | 8700 |
| C. Securities held for particular cleared swaps customers in lieu of cash (at market) | | 0 | 8710 |
| 12. Cleared swaps customer funds on hand (describe:) | | | 0 8715 |
| 13. Total amount in cleared swaps customer segregation (add lines 7 through 12) | | | 0 8720 |
| 14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) | | \$ 0 | 8730 |
| 15. Management target Amount for Excess funds in cleared swaps segregated accounts | | \$ 0 | 8760 |
| 16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) management target excess | | \$ 0 | 8770 |

**SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER: JEFFERIES LLC

as of: 01/31/2023

**STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS' DEALER OPTIONS ACCOUNTS**

| | | | | | |
|----|---|--|------|------|------|
| 1. | Amount required to be segregated in accordance with 17 CFR 32.6 | | | \$ 0 | 7200 |
| 2. | Funds/property in segregated accounts | | | | |
| | A. Cash | | \$ 0 | 7210 | |
| | B. Securities (at market value) | | 0 | 7220 | |
| | C. Total funds/property in segregated accounts | | | 0 | 7230 |
| 3. | Excess (deficiency) funds in segregation (subtract Line 2C from Line 1) | | | \$ 0 | 7240 |

**SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER: JEFFERIES LLC

as of: 01/31/2023

**STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7**

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

| | | | | | |
|----|---|--|------|------|------|
| | Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder | | | \$ 0 | 7305 |
| 1. | Net ledger balance - Foreign futures and foreign options trading - All customers | | | | |
| | A. Cash | | | \$ 0 | 7315 |
| | B. Securities (at market) | | | \$ 0 | 7317 |
| 2. | Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade | | | \$ 0 | 7325 |
| 3. | Exchange traded options | | | | |
| | A. Market value of open option contracts purchased on a foreign board of trade | | | \$ 0 | 7335 |
| | B. Market value of open option contracts granted (sold) on a foreign board of trade | | | \$ 0 | 7337 |
| 4. | Net equity (deficit) (add Lines 1, 2, and 3) | | | \$ 0 | 7345 |
| 5. | Accounts liquidating to a deficit and accounts with debit balances - gross amount | | \$ 0 | 7351 | |
| | Less: Amount offset by customer owned securities | | \$ 0 | 7352 | |
| 6. | Amount required to be set aside as the secured amount - Net liquidating equity method (add Lines 4 and 5) | | | \$ 0 | 7355 |
| 7. | Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or Line 6. | | | \$ 0 | 7360 |

**SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER: JEFFERIES LLC

as of: 01/31/2023

**STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7**

FUNDS DEPOSITED IN SEPARATE 17 CFR. 30.7 ACCOUNTS

| | | | | |
|--|------|------|------|------|
| 1. Cash in banks | | | | |
| A. Banks located in the United States | \$ 0 | 7500 | | |
| B. Other banks qualified under 17 CFR. 30.7 | | | | |
| Name(s): _ | | 7510 | 0 | 7520 |
| | | | \$ 0 | 7530 |
| 2. Securities | | | | |
| A. In safekeeping with banks located in the United States | \$ 0 | 7540 | | |
| B. In safekeeping with other banks designated by 17 CFR. 30.7 | | | | |
| Name(s): _ | | 7550 | 0 | 7560 |
| | | | 0 | 7570 |
| 3. Equities with registered futures commission merchants | | | | |
| A. Cash | \$ 0 | 7580 | | |
| B. Securities | 0 | 7590 | | |
| C. Unrealized gain (loss) on open futures contracts | 0 | 7600 | | |
| D. Value of long option contracts | 0 | 7610 | | |
| E. Value of short option contracts | 0 | 7615 | 0 | 7620 |
| 4. Amounts held by clearing organizations of foreign boards of trade | | | | |
| Name(s): _ | | 7630 | | |
| A. Cash | \$ 0 | 7640 | | |
| B. Securities | 0 | 7650 | | |
| C. Amount due to (from) clearing organization - daily variation | 0 | 7660 | | |
| D. Value of long option contracts | 0 | 7670 | | |
| E. Value of short option contracts | 0 | 7675 | 0 | 7680 |
| 5. Amounts held by members of foreign boards of trade | | | | |
| Name(s): _ | | 7690 | | |
| A. Cash | \$ 0 | 7700 | | |
| B. Securities | 0 | 7710 | | |
| C. Unrealized gain (loss) on open futures contracts | 0 | 7720 | | |
| D. Value of long option contracts | 0 | 7730 | | |
| E. Value of short option contracts | 0 | 7735 | 0 | 7740 |
| 6. Amounts with other depositories designated by a foreign board of trade | | | | |
| Name(s): _ | | 7750 | 0 | 7760 |
| 7. Segregated funds on hand (describe): _ | | | 0 | 7765 |
| 8. Total funds in separate 17 CFR 30.7 accounts | | | \$ 0 | 7770 |
| 9. Excess (deficiency) set aside funds for secured amount (Line Item 7770 minus Line Item 7360) | | | 0 | 7380 |
| 10. Management target amount for excess funds in separate 17 CFR 30. 7 accounts | | | 0 | 7780 |
| 11. Excess (deficiency) funds in separate 17 CFR 30. 7 accounts over (under) management target excess | | | 0 | 7785 |