

Important USA PATRIOT Act Information

Dear Customer,

Please review the information provided. It contains important information regarding your account(s) at Jefferies LLC, Jefferies Financial Services, Inc., Jefferies Financial Products, LLC or Jefferies Execution Services, Inc. (collectively “Jefferies” or the “Firm”).

Pursuant to U.S. regulations issued under Section 311 of the USA PATRIOT Act, 31 CFR 103.188, Jefferies is prohibited from establishing, maintaining, administrating, or managing a correspondent account for, or on behalf of, the following financial institutions listed below:

- Commercial Bank of Syria (including Syrian Lebanese Commercial Bank as well as all branches, offices and subsidiaries of Commercial Bank of Syria operating in Syria or in any other jurisdiction)
- FBME Bank Ltd (including all branches, offices and subsidiaries of FBME operating in any jurisdiction, including Tanzania and Cyprus)
- Banco Delta Asia (including Delta Asia Credit Limited and Delta Asia Insurance Limited as well as all branches, offices and subsidiaries of Banco Delta Asia operating in Macau or in any jurisdiction)
- Bank of Dandong (including all subsidiaries, branches, offices and agents of Bank of Dandong Co., Ltd, operating in any jurisdiction)
- Any financial institution in North Korea
- Any financial institution in Burma (except those exempt under Executive Order 13310)

The regulations also require Jefferies to notify you that your account with our Firm may not be used to provide the above listed financial institutions, or any of their respective branches, offices or subsidiaries, with access to our Firm.

If you should have a relationship with any of the above listed financial institutions, or any of their respective branches, offices or subsidiaries, or should you become aware of a relationship with the above listed financial institutions, or any of their respective subsidiaries, please contact our AML Compliance Officer Edward Mannix immediately at 201-761-7794.

If you contact Jefferies or, should Jefferies become aware of a relationship with the above listed financial institutions or any of their respective branches, offices or subsidiaries, (including that they are indirectly using an account you hold at our financial institution), Jefferies will be required to take appropriate steps to prevent such access, including terminating your account.

Should you have any questions regarding this notice, please contact our AML Compliance Department at AML.Compliance@Jefferies.com.

Thank you for your cooperation.